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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C07F 9/145</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/06416</b> <b>(43) International Publication Date:</b> 11 February 1999 (11.02.99)
<b>(21) International Application Number:</b> PCT/US98/15428 <b>(22) International Filing Date:</b> 24 July 1998 (24.07.98)  <b>(30) Priority Data:</b> 60/054,002                      29 July 1997 (29.07.97)                      US  <b>(71) Applicant:</b> E.I. DU PONT DE NEMOURS AND COMPANY [US/US]; 1007 Market Street, Wilmington, DE 19898 (US).  <b>(72) Inventors:</b> MCKINNEY, Ronald, James; 1243 Lakewood Drive, Wilmington, DE 19803 (US). TAM, Wilson; 3781 Brookcroft Lane, Boothwyn, PA 19061 (US).  <b>(74) Agent:</b> DEITCH, Gerald, E.; E.I. du Pont de Nemours and Company, Legal Patent Records Center, 1007 Market Street, Wilmington, DE 19898 (US).		<b>(81) Designated States:</b> AL, AM, AU, AZ, BA, BB, BG, BR, BY, CA, CN, CU, CZ, EE, GE, HR, HU, ID, IL, IS, JP, KG, KP, KR, KZ, LC, LK, LR, LT, LV, MD, MG, MK, MN, MX, NO, NZ, PL, RO, RU, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UZ, VN, YU, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> SELECTIVE SYNTHESIS OF ORGANODIPHOSPHITE COMPOUNDS  <b>(57) Abstract</b>  A process for the preparation of organodiphosphites of the formula $(R^1O)_2P(OZO)P(OR^1)_2$ wherein $R^1$ and Z are different substituted or unsubstituted aryl groups.		

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TITLE

## SELECTIVE SYNTHESIS OF ORGANODIPHOSPHITE COMPOUNDS

RELATED APPLICATION

5           This application claims the benefit of U.S. provisional application 60/054,002, filed July 29, 1997.

FIELD OF THE INVENTION

10           This invention relates to a process for the synthesis of organodiphosphites.

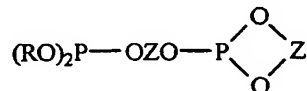
BACKGROUND OF THE INVENTION

15           Organodiphosphites are known to be useful as ligands for metal-complex catalysts which are useful in hydrocyanation reactions. Particularly useful organodiphosphites are those of the general structure:

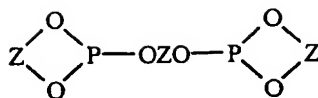


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          The synthesis of these organodiphosphites can be accomplished by reacting a mixture of at least one alcohol (ROH) and a diol (HOZOH) with phosphorous trichloride under conditions which allow resulting HCl  
25   to be distilled away, often at elevated temperature. This kind of synthesis can result in the production of a reaction product which also contains unwanted byproducts. These byproducts can include various organomonophosphites. These byproducts can also  
30   include unwanted organodiphosphites, including those of the formulae shown below:



35   and



Depending upon the relative amounts of ROH and HOZOH in the alcohol/diol mixture, the reaction product  
5 of the alcohol/diol mixture and  $\text{PCl}_3$  may contain the desired organodiphosphite in unacceptably low yields.

In general, laboratory approaches to selectively producing organodiphosphites involve carrying out the  
10 reaction in the presence of a base such as trialkylamine under extreme cold conditions (e.g.  $-78^\circ\text{C}$ ). Although desired organodiphosphites can be produced, they can undergo molecular rearrangement in the presence of acid to yield unwanted byproducts. A  
15 combination of carrying out the reaction in the presence of a base and in extreme cold can effectively slow down rearrangement to allow for a selective synthesis of the desired organodiphosphites through sequential addition of the alcohols and diol.

20

However, extreme cold is impractical from a commercial viewpoint, and there have been attempts to carry out selective synthesis under more practical conditions. In WO96/22968, a multistep process for the  
25 synthesis of compounds of the type  $(\text{ArO})_2\text{P}(\text{O}-\text{Z}-\text{O})\text{P}(\text{OAr})_2$  where Ar and Z are substituted or unsubstituted aryl groups is described in which all steps are carried out at  $0^\circ\text{C}$  or above with selectivity of about 90% reported. The process involves the  
30 synthesis of intermediate phosphoramidite compounds  $(\text{RO})_2\text{P}-\text{N}(\text{R}')_2$ , which are then converted to phosphorochloridites,  $(\text{RO})_2\text{PCl}$ , by reacting the phosphoramidites with anhydrous  $\text{HCl}$ , and then reacting the phosphorochloridites with base and the organic diol,  
35  $\text{HO}-\text{Z}-\text{OH}$ , to produce the desired organodiphosphite.

U.S. Patent No. 5,235,113 discloses a room temperature process for the preparation of a phosphite having a formula  $(RO)_2P(O-A-O)P(OR)_2$ , where A is biphenyl and R is 3,6-di-t-butyl-2-naphthyl. The process involves reacting a solution of 4 molar equivalents of 3,6-di-t-butyl-2-naphthol (an ROH material) and 4 molar equivalents of triethylamine (a base) with 2 molar equivalents of  $PCl_3$  by dropwise addition to produce a phosphorochloridite intermediate. This product is then reacted with one molar equivalent of 2,2'-biphenyldiol (an HOZOH) and 2 molar equivalents of triethylamine by dropwise addition.

U.S. Patent 4,668,651 discloses a process for making certain symmetric organodiphosphites by reacting an organic diphenolic compound with phosphorous trichloride to form a phosphorochloridite intermediate and then reacting the intermediate with a diol.

The process of WO96/22968 produces acceptable products, but it is a relative high cost multistep process. The process of U.S. Patent No. 5,235,113 is simple, but can result in low yields of certain organodiphosphites, depending on the nature of the ROH reactant.

It would be desirable to have a process for producing organodiphosphites in high yield, good selectivity and at a commercially desirable cost. These objectives are met by the process of the present invention.

#### SUMMARY OF THE INVENTION

The present invention provides a process for the preparation of organodiphosphites of the general formula  $(R^1O)_2P(OZO)P(OR^1)_2$ , wherein  $R^1$  and Z are different substituted or unsubstituted aryl groups, which comprises:

(a) treating at a temperature between about  $-25^{\circ}\text{C}$  and  $10^{\circ}\text{C}$  one molar equivalent of  $\text{PCl}_3$  with about two molar equivalents of  $\text{R}^1\text{OH}$ ;

5

(b) treating the solution of step (a) at between about  $-25^{\circ}\text{C}$  and  $10^{\circ}\text{C}$  with at least two equivalents of an organic base to produce  $(\text{R}^1\text{O})_2\text{PCl}$  and a substantially insoluble salt formed from the organic base and  $\text{HCl}$

10

which is formed by the reaction of  $\text{R}^1\text{OH}$  and  $\text{PCl}_3$ ; and

(c) reacting at a temperature of between about  $-25^{\circ}\text{C}$  and  $10^{\circ}\text{C}$  the  $(\text{R}^1\text{O})_2\text{PCl}$  with about one half molar equivalent of  $\text{HO-Z-OH}$ , provided if less than three equivalents of the organic base are utilized in step (b), then a sufficient quantity of additional organic base is added to bring the total equivalents of organic base utilized in the process to at least three.

15

20

#### DETAILED DESCRIPTION

The present invention provides a simple process for the selective synthesis of organodiphosphites of the formula,  $(\text{R}^1\text{O})_2\text{P}(\text{OZO})\text{P}(\text{OR}^1)_2$ , wherein  $\text{R}^1$  and  $\text{Z}$  are different substituted or unsubstituted aryl groups.

25 The term "aryl group" denotes an organic radical which is derived from an aromatic hydrocarbon. The process involves sequentially treating  $\text{PCl}_3$  with about two molar equivalents of  $\text{R}^1\text{OH}$ , adding an organic base, and then adding  $\text{HO-Z-OH}$ . This reaction results in the generation of  $\text{HCl}$ . A critical feature of the process is that all reactions are carried out below  $10^{\circ}\text{C}$  and in a solvent in which the organodiphosphite product is soluble, but the byproduct salt formed by the reaction of the organic base and  $\text{HCl}$  is insoluble. The addition of base is necessary to drive the reaction between  $\text{PCl}_3$  and  $\text{R}^1\text{OH}$  to completion. The byproduct salt of the organic base is then removed from the product mixture by aqueous extraction. The organic solvent may be

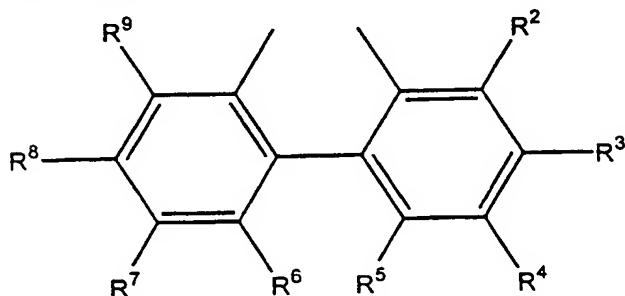
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flash distilled to isolate a product mixture which typically contains the desired product with about 70% to 90% selectivity. Other phosphite byproducts such as  $P(OR^1)_3$ , or  $R^1OP(O-Z-O)$  make up the balance of the product mixture. The purity of the crude reaction product is often acceptable for further use. However, if greater purity is desired, the mixture may be subjected to standard purification methods such as fractional distillation or crystallization methods, as appropriate.

The preferred product of the present process is an organodiphosphite of the formula  $(R^1O)_2P(OZO)P(OR^1)_2$ , wherein  $R^1$  is phenyl, unsubstituted or substituted with one or more  $C_1$  to  $C_{12}$  alkyl or  $C_1$  to  $C_{12}$  alkoxy groups; naphthyl, unsubstituted or substituted with one or more  $C_1$  to  $C_{12}$  alkyl or  $C_1$  to  $C_{12}$  alkoxy groups; anthracenyl, unsubstituted or substituted with one or more  $C_1$  to  $C_{12}$  alkyl or  $C_1$  to  $C_{12}$  alkoxy groups; or phenanthrenyl, unsubstituted or substituted with one or more  $C_1$  to  $C_{12}$  alkyl or  $C_1$  to  $C_{12}$  alkoxy groups. Particularly preferred  $R^1$  groups are 2-methylphenyl (2-tolyl), 2-methoxyphenyl, 3,5-di-tert-butylphenyl, 1-naphthyl, 9-phenanthrenyl, 2-isopropylphenyl, 2-isopropyl-5-methylphenyl, and 2-ethylphenyl.

Preferably, Z is selected from radicals defined by the formulae I to IV:



I

where:

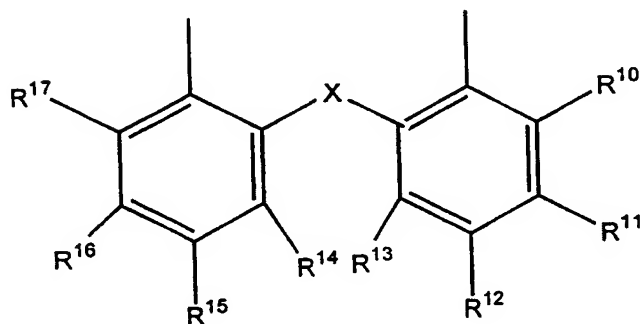
$R^2$  and  $R^9$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

5

$R^3$  and  $R^8$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

10  $R^4$  and  $R^7$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

$R^5$  and  $R^6$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy; or



15

II

where:

X is O, S, or CH( $R^{18}$ );

20

$R^{10}$  and  $R^{17}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

$R^{11}$  and  $R^{16}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

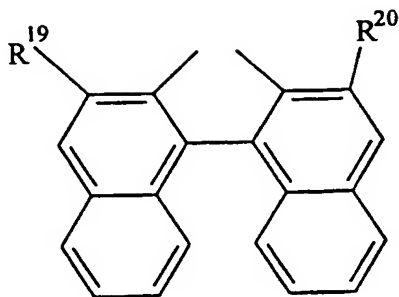
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$R^{12}$  and  $R^{15}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

30  $R^{13}$  and  $R^{14}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy; and



$R^{18}$  is H or  $C_1$  to  $C_{12}$  alkyl; or

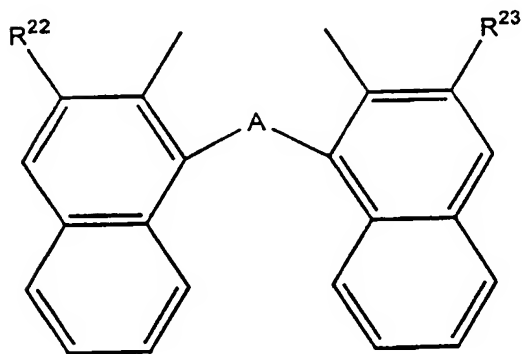


III

5 where:

$R^{19}$  and  $R^{20}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl,  $C_1$  to  $C_{12}$  alkoxy, and  $CO_2R^{21}$ ;

10  $R^{21}$  is  $C_1$  to  $C_{12}$  alkyl; or phenyl, unsubstituted or substituted with  $C_1$  to  $C_6$  alkyl; or



IV

15 where:

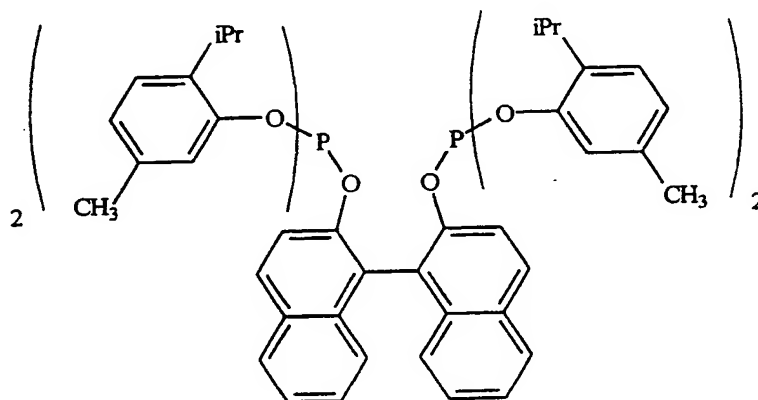
A is O, S,  $CH(R^{24})$ ;

$R^{22}$  and  $R^{23}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl,  $C_1$  to  $C_{12}$  alkoxy, and  $CO_2R^{25}$ ;

5  $R^{24}$  is H or  $C_1$  to  $C_{12}$  alkyl;

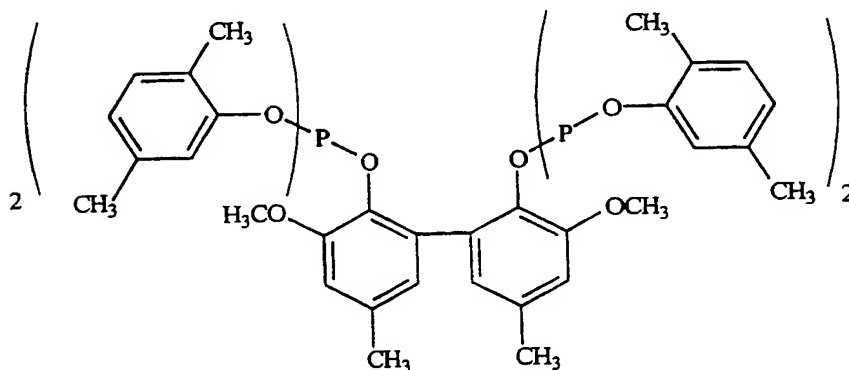
$R^{25}$  is  $C_1$  to  $C_{12}$  alkyl; or phenyl, unsubstituted or substituted with  $C_1$  to  $C_6$  alkyl;

10 Examples of organodiphosphites (Ligands) which can be made by this process include those having the formulae V-XI. These organodiphosphites are useful as hydrocyanation catalyst ligands.



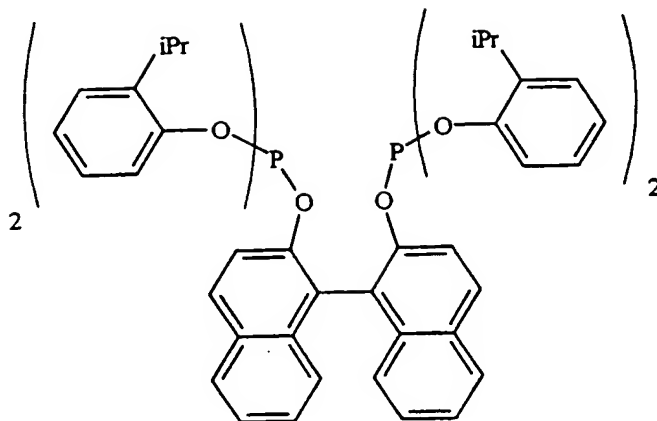
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V (where iPr is isopropyl)



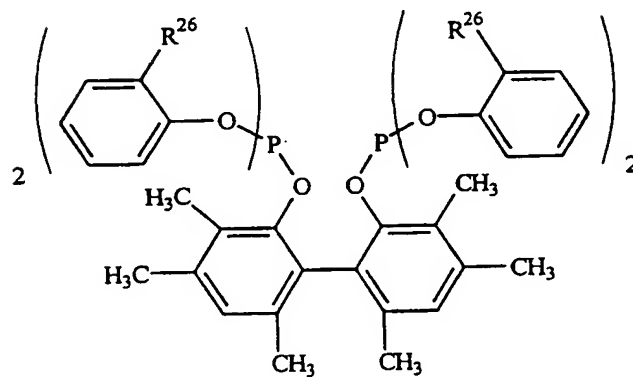
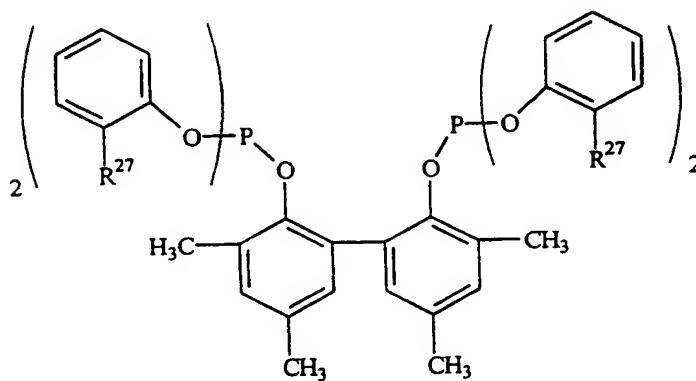
VI

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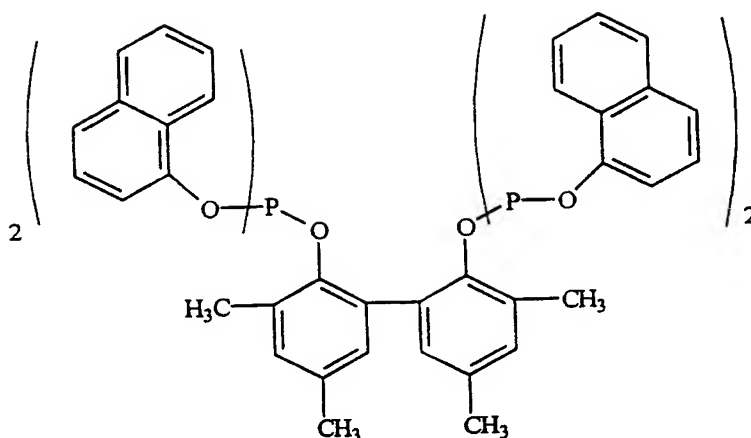
VII (where iPr is isopropyl)

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VIII (where R<sup>26</sup> is methyl or ethyl)

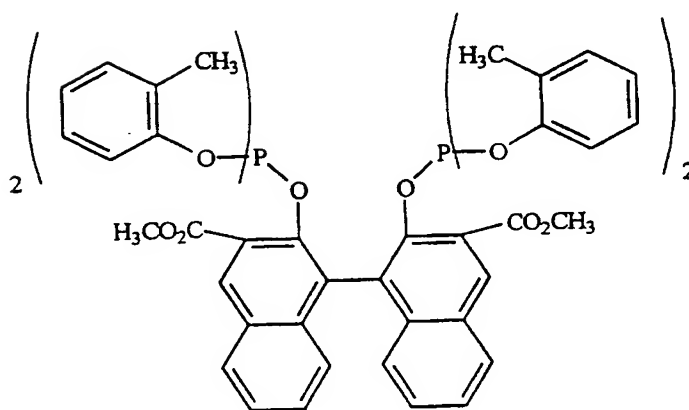
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IX (where R<sup>27</sup> is methyl, ethyl, or isopropyl)



X

5



XI

10

The order of addition of reagents is important to obtaining high selectivity. Adding reagents in an order other than that described will result in lower selectivity to the desired product.

15

The control of temperature, especially during the first part of the reaction in which the intermediate  $(R^1O)_2P(OR^2)Cl$  is generated, is critical to the success of this process. Acceptable selectivities may be obtained at temperatures as high as  $10^\circ C$ . However, higher selectivities are obtained at lower temperatures,

20

though little improvement in selectivity is observed below -25°C. Practical considerations, such as the temperature of the available heat exchange medium, such as brine solution, usually dictates the lower practical temperature at which the process may be operated. Because of these considerations, the most preferred operating temperature is in the range of about -10°C to about 0°C.

The base used in the process of this invention should be anhydrous and soluble in the reaction medium, but the salt of which, generated as a byproduct of its reaction with HCl, should be substantially insoluble. The insolubility of the salt of the base is important for preventing dissociation of the salt to produce small amounts of acid which may catalyze the rearrangement of intermediates and products. It is for this reason that excess base should be utilized. Suitable bases for this process are organic amines. Especially preferred are trialkylamines. The most preferred bases are selected from the group consisting of tributylamine, benzyldimethylamine, triethylamine, and diisopropylmethylamine. It is important to maintain temperature in the -25°C to 10°C range during the base addition. The rate of addition of base may be adjusted to maintain temperature in the desired range. It is important that at least two equivalents (per equivalent of  $\text{PCl}_3$ ) of base be added in step (b) of the present process to neutralize all of the HCl which is produced from step (a). It is preferred to add at least three equivalents of base in step (b) in order to have sufficient unreacted base in step (c) to neutralize any HCl produced in step (c). Alternatively, less than three equivalents of base may be added in step (b), provided an additional quantity of base is added in step (c) to bring the total number of equivalents of base in the process as a whole to at least three. Because the addition of base results in the formation of an insoluble salt formed by neutralizing HCl, the

reaction mixture can become a thick slurry. Such a slurry can create problems in achieving good mixing of base which is important in avoiding temperature  
5 gradients in the reaction mixture which can decrease yield of the desired product. It is important, therefore, that the reaction be conducted with vigorous stirring or other agitation to allow effective removal of heat from the reaction mixture. Cooling to the  
10 required temperature range can be accomplished by well-known techniques in the art.

The solvents of this process are selected on the basis of their nonreactivity with any reagents or  
15 products, their ability to solubilize both reagents and products, their ability to render byproduct salts of the base substantially insoluble, and their having a freezing point below the desired reaction temperature. Additionally, the solvent should be nonreactive with  
20 HCl that is generated during the process. Solvents meeting these criteria include both aliphatic and aromatic hydrocarbons and aliphatic nitrile compounds. Preferred solvents are selected from the group consisting of ethers, hexanes, heptanes, octanes,  
25 cyclohexane, methylcyclohexane, benzene, toluene, xylenes, acetonitrile, propionitrile, valeronitrile, pentanenitrile and mixtures thereof.

It is important to carry out the present process  
30 in the absence of water. It is preferred, therefore, that reagents be dried prior to use and that the reaction itself be carried out under anhydrous conditions. It is also preferred to carry out the present process in the absence of oxygen.  
35 Conveniently, the present process may be carried out under a dry, inert atmosphere, such as nitrogen.

The invention is illustrated by the following non-limiting examples.

### EXAMPLES

#### Example 1. Synthesis of Ligand V.

This example shows that Ligand V can be produced  
5 in high yields by the present process.

A 2-liter, baffled resin kettle was charged with thymol (66.0 g; 439.8 mmol) and toluene (670 mL), and the mixture was cooled to -10°C. The PCl<sub>3</sub> (30.0 g,  
10 218.4 mmol) in toluene (30 mL) solution was added, and the temperature was reestablished to -10°C. Then Et<sub>3</sub>N, triethylamine, (72.0 g; 713 mmol) in toluene (150 mL) solution was added from a dropping funnel over a 30 minute period while stirring at -10°C for 15 minutes  
15 more. Then, 2,2'-binaphthol (31.2 g; 109.2 mmol) in toluene (150 mL) slurry was added over a 30 minute period while maintaining temperature at about -10°C. The mixture was warmed to -5°C and stirred for 1.25 hr. The mixture was then treated with 0.1 N HCl (300 mL)  
20 with good mixing and then transferred to a 2 liter separatory funnel. After separating the aqueous layer, the organic layer was treated with 0.1 N NaOH (200 mL), and then distilled water (200 mL). The solvent was removed from the product-containing organic layer under  
25 reduced pressure to recover a viscous oil (123 g; analysis by liquid chromatography shows the oil is 71.1% of the compound of Ligand V or 87.5 g of the compound of Ligand V). The yield was 85% based on active ingredients.

30

#### Examples 2-5. Effect of Temperature on the Synthesis of Ligand V.

These examples show how temperature affects the yield of Ligand V. They also reveal that water, rather  
35 than acids and bases, may be used to extract from the product slurry ammonium salts produced from the reaction of the organic base and HCl.

Examples 2-5 were carried out in a manner similar to Example 1, except that the acid, base and water extractions were replaced by three water washes (250 mL each). The reaction temperature and the resulting ligand yield were different for each example, as indicated in the table of results below:

Example	Temperature (C)	Ligand V Yield (%)
2	-15	83
3	-5	71
4	0	70
5	20	58

10 Example 6 - Synthesis of Ligand VI.

This example shows that Ligand VI can be produced in high yield by the present process.

A 2-liter baffled resin kettle was charged with ortho-cresol (47.5 g; 0.44 mol) and dry toluene (550 mL) and the mixture cooled to -15°C with a dry ice/acetone bath. PCl<sub>3</sub> (30.14 g; 0.22 mol) in toluene (30 mL) was added and the temperature reestablished at -15°C. Et<sub>3</sub>N (72.0 g; 0.71 mol) in toluene (150 mL) solution was added dropwise from a dropping funnel over a 2 hr period while maintaining the temperature at about -15°C and continuing the stirring for an additional 30 minutes. 3,3'-Dimethoxy-5,5'-dimethyl-2,2'-biphenol (30.2 g; 0.11 mol) in toluene (300 mL) was then added dropwise from a dropping funnel over a 1 hr period while maintaining a temperature of -15°C. The reaction mixture was allowed to warm to 0°C over a 1 hr period and then was extracted with water (3x500 mL). The solvent was removed from the organic layer under reduced pressure to recover a viscous oil. <sup>31</sup>P nmr analysis reveals about 90% selectivity to Ligand VI.



Example 7 - Synthesis of Ligand VII.

This example shows that Ligand VII can be produced in high yield by the current process.

5

A 2-liter baffled resin kettle was charged with 2-isopropylphenol (60.0 g; 0.44 mol) and toluene (670 mL) and cooled to about -15°C. PCl<sub>3</sub> (30.0 g; 0.22 mol) in toluene (30 mL) was added and the temperature  
10 reestablished at -15°C. Et<sub>3</sub>N (72.0 g; 0.71 mol) in toluene (150 mL) was added dropwise from a dropping funnel over a 30 minute period while maintaining the reaction mixture at about -8°C. The mixture was stirred for an additional 15 minutes and then a slurry  
15 of 2,2'-binaphthol (31.2 g; 0.11 mol) in toluene (150 mL) was added over a 30 minute period while maintaining the temperature at about -8°C. After addition was complete, the reaction mixture was stirred for an additional hour at about -3°C and then extracted  
20 twice with water (2x200 mL). The solvent was removed from the organic layer under reduced pressure to give a viscous oil. <sup>31</sup>P nmr analysis of the oil showed about 70% selectivity to Ligand VII.

25 Comparative Example- Synthesis of Ligand V Under the Conditions Described in U.S. Patent No. 5,235,113.

This comparative example shows that when Ligand V is synthesized under the conditions described in U.S. Patent No. 5,235,113 only low yields of the ligand were  
30 obtained.

A 500 mL three-neck round bottom flask equipped with an overhead stirrer, a constant dropping funnel, and an internal thermocouple was charged with toluene (50 mL) and phosphorus trichloride (PCl<sub>3</sub>; 4.11 g; 0.03  
35 mol). The dropping funnel was charged with a mixture of thymol (9.01 g; 0.060 mol) and triethylamine (6.06 g; 0.060 mol) in toluene (50 mL); this mixture was added to the roundbottom flask dropwise over a 30

minute period at ambient temperature while stirring vigorously and allowed to continue stirring for 1 hour more. A mixture (slurry) comprised of 2,2'-  
5 binaphthol (4.29 g; 0.015 mol), triethylamine (3.04 g; 0.030 mol) and toluene (50 mL) was added slowly by pipette over a 30 minute period at ambient temperature while stirring vigorously. After addition was complete, <sup>31</sup>P nmr analysis revealed that reaction was  
10 complete and that a Ligand V yield of less than 10% had been obtained, the remainder of the product being comprised predominantly of monodentate phosphite byproducts.

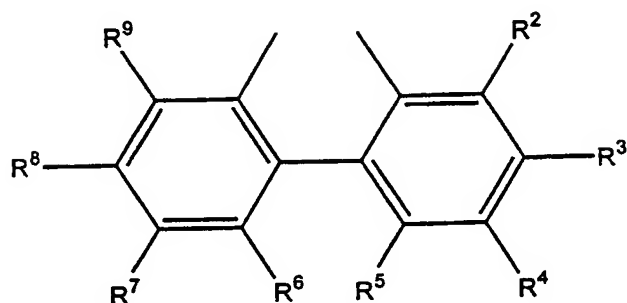
15 In a similar reaction in which the temperature was held at 0°C throughout the reaction, only a 23% yield of Ligand V was observed. In contrast, when Ligand V was synthesized using the process of the present invention, as shown in Example 1, a yield of 85% was  
20 obtained.

WHAT IS CLAIMED IS:

1. A process for the preparation of organodiphosphites of the general formula  
5  $(R^1O)_2P(OZO)P(OR^1)_2$ , wherein  $R^1$  and Z are different substituted or unsubstituted aryl groups, which comprises:
- (a) treating at a temperature between about  $-25^\circ\text{C}$  and  
10  $10^\circ\text{C}$  one molar equivalent of  $\text{PCl}_3$  with about two molar equivalents of  $R^1\text{OH}$ ;
- (b) treating the solution of step (a) at between about  $-25^\circ\text{C}$  and  $10^\circ\text{C}$  with at least two equivalents of an  
15 organic base to produce  $(R^1O)_2\text{PCl}$  and a substantially insoluble salt formed from the organic base and  $\text{HCl}$  which is formed by the reaction of  $R^1\text{OH}$  and  $\text{PCl}_3$ ; and
- (c) reacting at a temperature of between about  $-25^\circ\text{C}$   
20 and  $10^\circ\text{C}$  the  $(R^1O)_2\text{PCl}$  with about one half molar equivalent of  $\text{HO-Z-OH}$ , provided if less than three equivalents of the organic base are utilized in step (b), then a sufficient quantity of additional organic base is added to bring the total equivalents of organic  
25 base utilized in the process to at least three.
2. The process of Claim 1 wherein the organodiphosphite is of the formula  $(R^1O)_2P(OZO)P(OR^1)_2$  wherein:
- 30  $R^1$  is phenyl, unsubstituted or substituted with one or more  $\text{C}_1$  to  $\text{C}_{12}$  alkyl or  $\text{C}_1$  to  $\text{C}_{12}$  alkoxy groups; naphthyl, unsubstituted or substituted with one or more  $\text{C}_1$  to  $\text{C}_{12}$  alkyl or  $\text{C}_1$  to  $\text{C}_{12}$  alkoxy groups;  
35 anthracenyl, unsubstituted or substituted with one or more  $\text{C}_1$  to  $\text{C}_{12}$  alkyl or  $\text{C}_1$  to  $\text{C}_{12}$  alkoxy groups; or phenanthrenyl, unsubstituted or substituted with one or more  $\text{C}_1$  to  $\text{C}_{12}$  alkyl or  $\text{C}_1$  to  $\text{C}_{12}$  alkoxy groups;

Z is selected from radicals defined by the formulae I to IV:

5



I

wherein:

R<sup>2</sup> and R<sup>9</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

10

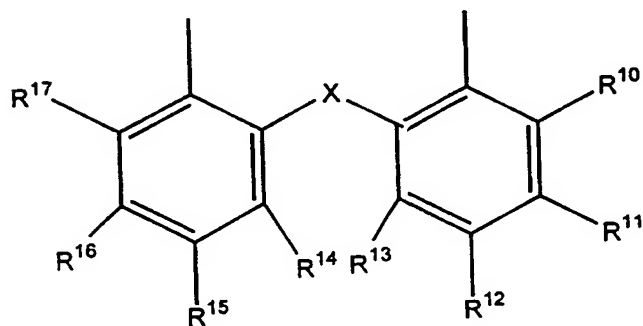
R<sup>3</sup> and R<sup>8</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

R<sup>4</sup> and R<sup>7</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

15

R<sup>5</sup> and R<sup>6</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy; or

20



II

wherein:

X is O, S, or CH(R<sup>18</sup>);

5

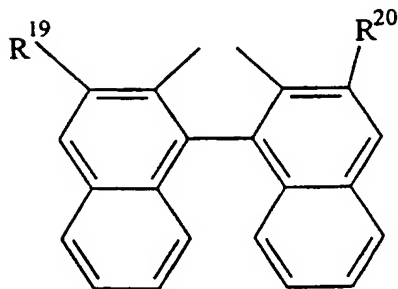
R<sup>10</sup> and R<sup>17</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

10 R<sup>11</sup> and R<sup>16</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

R<sup>12</sup> and R<sup>15</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

15 R<sup>13</sup> and R<sup>14</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy; and

R<sup>18</sup> is H or C<sub>1</sub> to C<sub>12</sub> alkyl; or



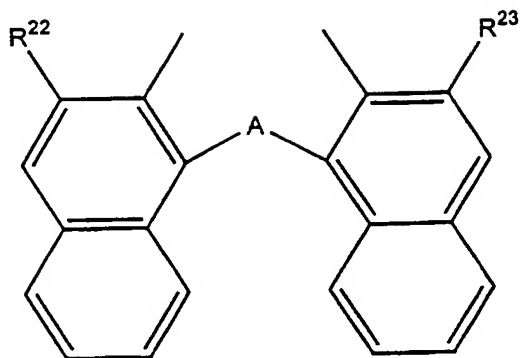
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III

wherein:

25 R<sup>19</sup> and R<sup>20</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, C<sub>1</sub> to C<sub>12</sub> alkoxy, and CO<sub>2</sub>R<sup>21</sup>,

R<sup>21</sup> is C<sub>1</sub> to C<sub>12</sub> alkyl; or phenyl, unsubstituted or substituted with C<sub>1</sub> to C<sub>6</sub> alkyl; or



IV

wherein:

5

A is O, S, CH(R<sup>24</sup>);

R<sup>22</sup> and R<sup>23</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, C<sub>1</sub> to C<sub>12</sub> alkoxy, and CO<sub>2</sub>R<sup>25</sup>;

10

R<sup>24</sup> is H or C<sub>1</sub> to C<sub>12</sub> alkyl;

R<sup>25</sup> is C<sub>1</sub> to C<sub>12</sub> alkyl; or phenyl, unsubstituted or substituted with C<sub>1</sub> to C<sub>6</sub> alkyl.

15

3. The process of Claim 1 wherein at least three equivalents of the organic base, relative to PCl<sub>3</sub>, are added in step (b).

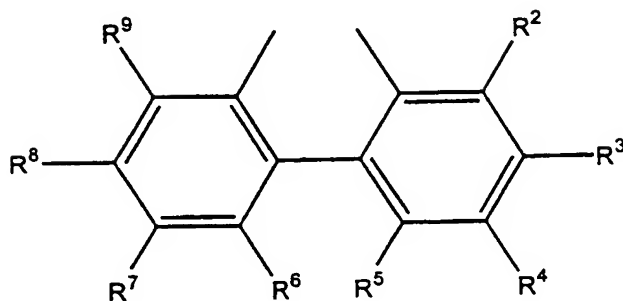
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4. The process of Claim 1 wherein step (a) is performed at a temperature of between -10°C and about 0°C.

25

5. The process of Claim 4 wherein steps (b) and (c) are performed at a temperature of between -10°C and about 0°C.

6. The process of Claim 3 wherein Z is a radical of the formula



5

I

wherein:

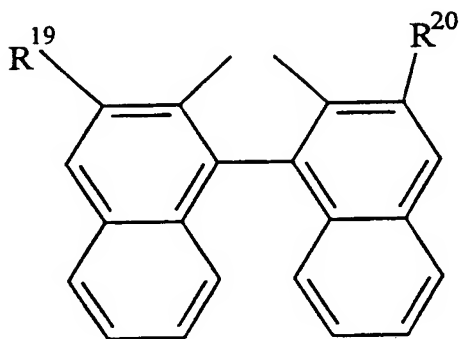
R<sup>2</sup> and R<sup>9</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

R<sup>3</sup> and R<sup>8</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

R<sup>4</sup> and R<sup>7</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, and C<sub>1</sub> to C<sub>12</sub> alkoxy;

$R^5$  and  $R^6$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy; or a radical of the formula

5



III

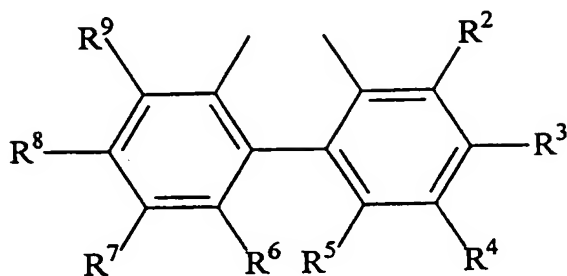
10 wherein:

$R^{19}$  and  $R^{20}$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl,  $C_1$  to  $C_{12}$  alkoxy, and  $CO_2R^{21}$ ; and

15  $R^{21}$  is  $C_1$  to  $C_{12}$  alkyl; or phenyl, unsubstituted or substituted with  $C_1$  to  $C_6$  alkyl.



7. The process of Claim 3 wherein Z is a radical of the formula



5

I

wherein:

10  $R^2$  and  $R^9$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

$R^3$  and  $R^8$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

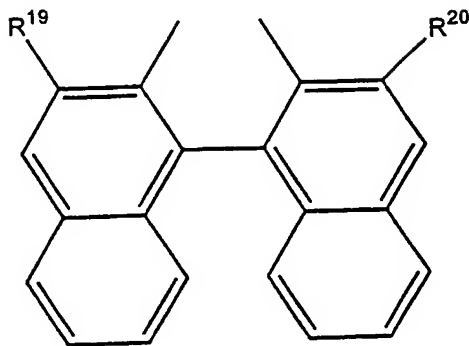
15

$R^4$  and  $R^7$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy;

$R^5$  and  $R^6$  are the same and are selected from H,  $C_1$  to  $C_{12}$  alkyl, and  $C_1$  to  $C_{12}$  alkoxy.

20

8. The process of Claim 3 wherein Z is a radical of the formula



5 III

wherein:

R<sup>19</sup> and R<sup>20</sup> are the same and are selected from H, C<sub>1</sub> to C<sub>12</sub> alkyl, C<sub>1</sub> to C<sub>12</sub> alkoxy, and CO<sub>2</sub>R<sup>21</sup>; and

10

R<sup>21</sup> is C<sub>1</sub> to C<sub>12</sub> alkyl; or phenyl, unsubstituted or substituted with C<sub>1</sub> to C<sub>6</sub> alkyl.

9. The process of Claim 6 wherein R<sup>1</sup> is selected from the group consisting of phenyl, substituted with one or more C<sub>1</sub> to C<sub>12</sub> alkyl or C<sub>1</sub> to C<sub>12</sub> alkoxy groups; naphthyl, unsubstituted or substituted with one or more C<sub>1</sub> to C<sub>12</sub> alkyl or C<sub>1</sub> to C<sub>12</sub> alkoxy groups; anthracenyl, unsubstituted or substituted with one or more C<sub>1</sub> to C<sub>12</sub> alkyl or C<sub>1</sub> to C<sub>12</sub> alkoxy groups; or phenanthrenyl, unsubstituted or substituted with one or more C<sub>1</sub> to C<sub>12</sub> alkyl or C<sub>1</sub> to C<sub>12</sub> alkoxy groups.

10. The process of Claim 9 wherein R<sup>1</sup> is selected from the group consisting of 2-methoxyphenyl, 3,5-di-tert-butylphenyl, 9-phenanthrenyl, 2-isopropylphenyl, 2-isopropyl-5-methylphenyl, 2-methylphenyl, 2-ethylphenyl, 2-isopropylphenyl, and 1-naphthyl.

11. The process of Claim 10 wherein R<sup>1</sup> is selected from the group consisting of 2-isopropylphenyl, 2-isopropyl-5-methylphenyl, 2-methylphenyl, and 2-ethylphenyl.
- 5

# INTERNATIONAL SEARCH REPORT

Int. Application No

PCT/US 98/15428

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07F9/145

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 96 22968 A (E.I. DU PONT DE NEMOURS) 1 August 1996 cited in the application see the whole document ---	1-11
Y	US 5 235 113 A (KEIICHI SARO) 10 August 1993 cited in the application see example 10 ---	1-11
Y	EP 0 472 071 A (BASF AG) 26 February 1992 see the examples ---	1-11
Y	EP 0 577 042 A (UNION CARBIDE COATINGS SEVICE TECHNOLOGY CORP.) 5 January 1994 see page 19 - page 21 ---	1-11
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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- "P" document published prior to the international filing date but later than the priority date claimed

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"&" document member of the same patent family

Date of the actual completion of the international search

25 September 1998

Date of mailing of the international search report

02/10/1998

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# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 98/15428

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>US 3 488 407 A (WILLIAM L. SCHALL)  6 January 1970  see the whole document  -----</p>	1-11

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